JUL 3 1 2006

Typed or printed name

gt an

PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE aperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 09/931,629 Filing Date TRANSMITTAL 08/16/2001 First Named Inventor **FORM** Steven Dale Goodman Art Unit 2131 **Examiner Name** Longbit Chai (to be used for all correspondence after initial filing) Attorney Docket Number RPS9 2001 0046 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Petition Amendment/Reply Petition to Convert to a Proprietary Information Provisional Application After Final Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Identify Terminal Disclaimer below): Extension of Time Request Return Postcard Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Winstead Sechrest & Minick P.C. Signature Printed name Kelly K. Kordzik Date Reg. No. 36,571 7/26/2005 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Appeal Brief-Patents, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the data shown below: Signature Date 7/26/2005 Danielle Chandler

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



-1-

## BEFORE THE BOARD OF PATENT APPEALS AND INTERFERENCES

In re Application of: Goodman et al.

Serial No.: 09/931,629

Filing Date: August 16, 2001

Title: FLASH UPDATE USING A TRUSTED PLATFORM MODULE

Before the Examiner: Chai, Longbit

Group Art Unit: 2131

Lenovo (United States) Inc.

ZHHA/B675/B424

P.O. 12195

3039 Cornwallis Road

Research Triangle Park, NC 27709

Mail Stop Appeal Brief - Patents Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## **REPLY BRIEF**

In response to the Examiner's Answer having a mailing date of May 26, 2006, Appellants respond as follows:

The Examiner is asserting that the claims are obvious by combining the *Grawrock* reference with the *Alexander* reference. In order to do so, the *prima facie* case of obviousness has to show that one skilled in the art at the time the invention was made would have been motivated to combine these two references. Appellants

## **CERTIFICATION UNDER 37 C.F.R. § 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Mail Stop Appeal Brief - Patents, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on July 26, 2006,

Signature

Danielle Chandler

(Printed name of person certifying)

respectfully assert that one skilled in the art at the time the invention was made would not have been motivated to combine these references as asserted by the Examiner.

Assuming that the *Alexander* reference does describe a process whereby the SMI performs a data verification and then unlocks the flash memory, this is still a software process performed internally within the system. The present invention recites that a BIOS image is used to update the BIOS after it is verified by the TPM, and if the verification is successful, the TPM then unlocks the flash memory to perform the update process. The reason the inventors decided to use a TPM was that it is an external hardware device as opposed to software internal to the system. Using the external TPM hardware to perform the verification and the unlocking of the flash memory, is very secure, since the TPM cannot be spoofed. In contrast, using an SMI to perform such a process is not secure, and in fact, is reliant upon the SMI program that is stored within the BIOS itself. Thus, the present invention provides a greatly superior solution over what is taught in *Alexander*, and not merely an incremental improvement.

So, the question is whether the claimed invention would have been obvious to one skilled in the art looking at the two references. Appellants assert that it would not have, since there is nothing within the *Alexander* reference that suggests using an external piece of hardware such as a TPM to replace any of the software programs described in *Alexander* to perform such an update process, and specifically the SMI. Furthermore, there is nothing within the *Grawrock* reference that suggests using a TPM to perform a BIOS update by first using a TPM to verify the image, and then using a TPM to unlock the flash memory. To combine these references to reject the claims as obvious is relying upon pure hindsight without any objective evidence to show a motivation to combine these references.

As a result of the foregoing, Appellants respectfully assert that the claims are patentable over the cited prior art.

Respectfully submitted,

WINSTEAD SECHREST & MINICK P.C.

Attorneys for Appellants

By:

Kelly K. Kordzik Reg. No. 36,571

P.O. Box 50784 Dallas, Texas 75201 (512) 370-2851

Austin\_1\319145\1 44458-P015US 7/26/2006